


Towards Private Deep Learning-Based Side-Channel Analysis Using Homomorphic Encryption

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 Outline

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 Background

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 Evaluation

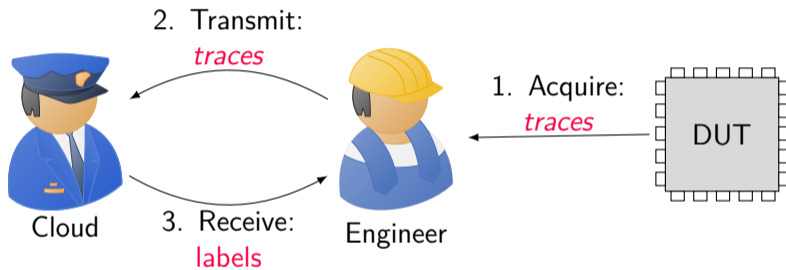
 Conclusion

Introduction



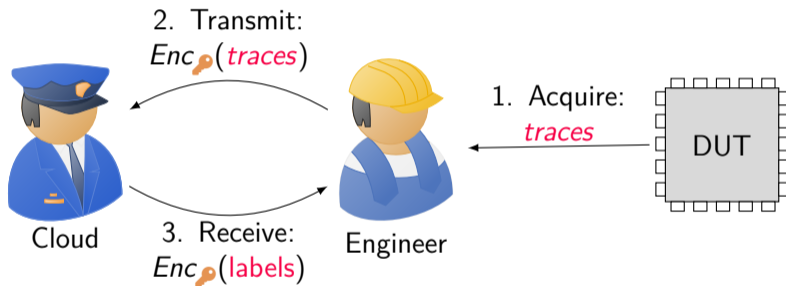
Outsourcing Side-Channel Analysis

Outsourced Side-Channel Analysis



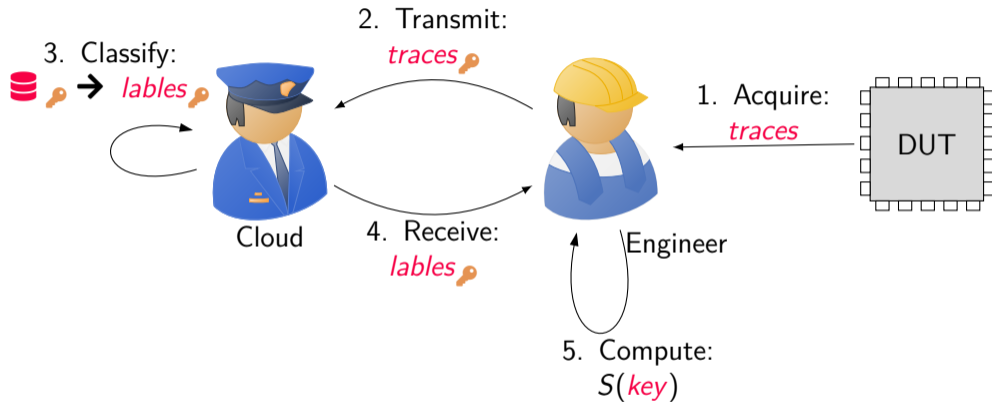
Preliminary evaluation in MLaaS setting

Private Side-Channel Analysis: Vision



Preliminary evaluation in MLaaS setting

Private Side-Channel Analysis: First Step



Outsourced Classification

Background



Building Blocks

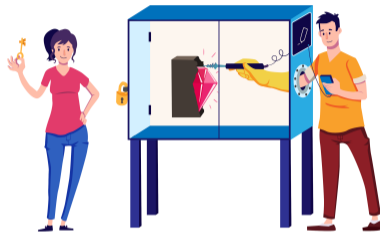
Homomorphic Encryption

- HE scheme \mathcal{E} is set of functions:
 - Setup, Enc, Dec, KeyGen, Eval
- We need addition and multiplication
- $\mathcal{E}.\text{Enc}$ introduces noise, increased by $\mathcal{E}.\text{Eval}$
- Bootstrapping resets noise
- \mathcal{E} leads to Ciphertext Expansion
- Packing alleviates it



Homomorphic Encryption: Trade-Offs

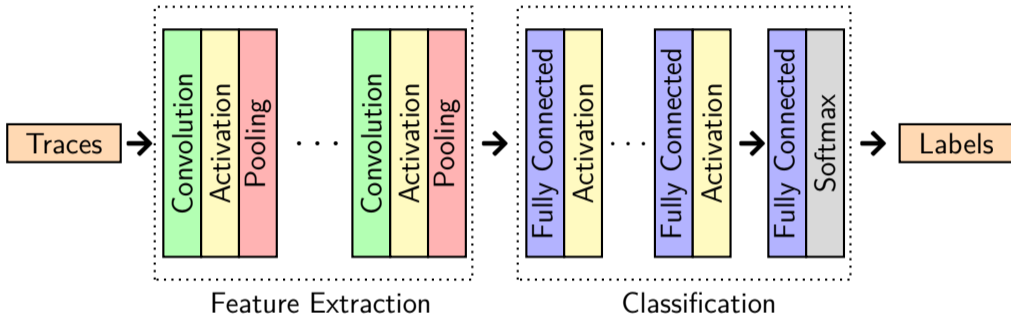
- Traits of HE schemes differ significantly:
- Binary vs. arithmetic domain
- Unlimited operations vs. high throughput
- Arbitrary operations vs. Packed encryption



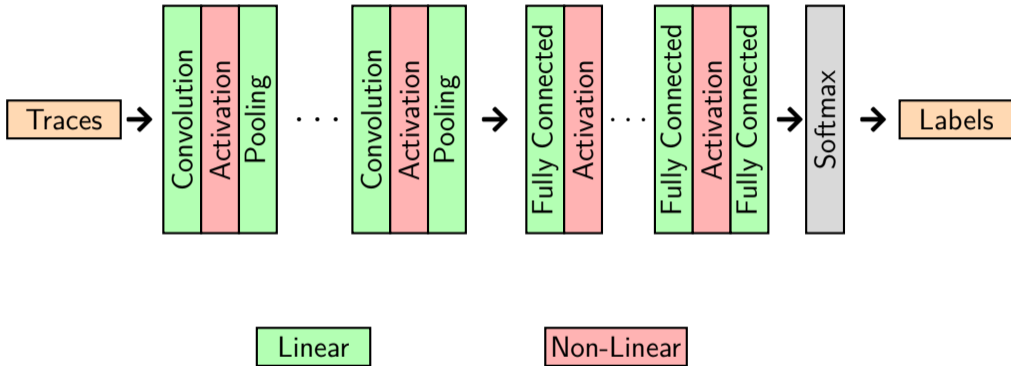
Homomorphic Encryption: CKKS Encryption Scheme [1]

- Plaintext space is polynomial ring $R = \mathbb{Z}[X]/(X^n + 1)$
- Arithmetic scheme that encodes $\mathbb{C}^{n/2} \mapsto R$
- Limited number of SIMD addition, multiplication and vector rotation
- Level parameter L limits multiplications
- Increasing L impacts performance
- High throughput scheme, for limited depth arithmetic circuits over real numbers

Convolutional Neural Network: Anatomy



Convolutional Neural Network: Encrypted Evaluation

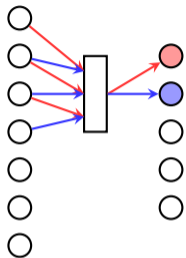


Method



Encrypted Power Trace Classification

Linear Layers: Convolution and Pooling



$$y_i = \sum_{j=i}^{i+f} x_j \cdot k_i$$
$$y'_i = F_{pool}(x'_i \dots x'_{i+f})$$

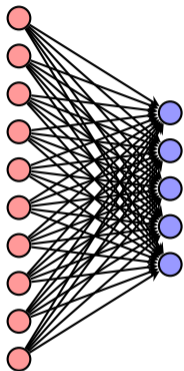


$$y = \sum_{j=0}^f \text{rot}_j(x) \cdot k$$
$$k = 0 \mapsto \text{AvgPool}$$

Convolution or Pooling

Encrypted Evaluation

Linear Layers: Fully Connected



Dense Layer



$$[x_0, \dots, x_n] \times \begin{bmatrix} w_{0,0} & \dots & w_{0,m} \\ \vdots & \ddots & \vdots \\ w_{n,0} & \dots & w_{n,m} \end{bmatrix} = [y_0, \dots, y_m]$$

Vector Matrix Product (BSGS [2])

Non-Linear Layers: Activation Functions

- Inherently **non-linear** functions
- Prominent example: Scaled Exponential Linear Unit **SELU**(x):

- If $x \leq 0$:

$$\text{SELU}(x) = \lambda \alpha \cdot (\exp(x) - 1)$$

- Else:

$$\text{SELU} = \lambda \cdot x$$

- Low-degree polynomials via Chebyshev approximation

Evaluation



Charts and Figures

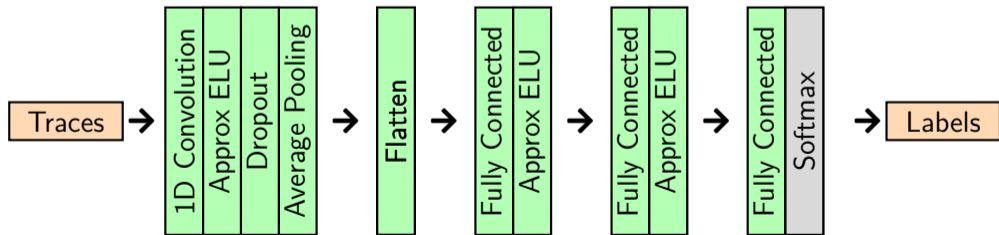
Neural Network Implementation on unprotected AES

- ChipWhisperer Dataset [3]
- Convolutional Neural Network:
 - 1 Convolution Block
 - Average Pooling
 - 2 Fully Connected Layers
 - All settings converge within 10 classifications

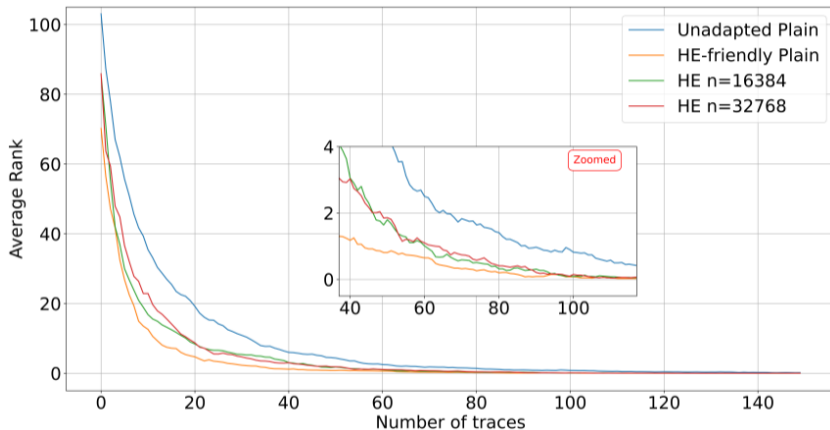
PolS	Accuracy	Query Time [s]	Overhead
20	0.5	0.141	$14 \cdot 10^4$
500	0.8	1	$53 \cdot 10^4$
2500	1.0	4.7	$4.7 \cdot 10^4$
5000	0.2	25	$12.5 \cdot 10^4$

ASCAD Database

- 8-bit masked AES implementation (ATmega8515) [4]
- We start from [optimized](#) network architectures [5]
- Adapt for [HE-friendly](#) model



ASCAD Results



Key recovery with different network architectures and security parameters

ASCAD Results

Model	Security Level	Query Time	Overhead
Reference [5]	None	0.03 ms	-
Our Model	None	0.03 ms	-
	128 bit	13.3 s	$4.5 \cdot 10^5$
	256 bit	27.4 s	$9.4 \cdot 10^5$

After 75 traces and ≈ 17 minutes, the correct key is in the first two ranks.

Dataset	Security Level	n	$\log_2 q$	L
CW dataset	128 bit	16 384	360	6
	256 bit	32 768	360	6
ASCAD dataset	128 bit	16 384	430	11
	256 bit	32 768	450	11

CKKS parameters for the CW and ASCAD CNN implementations

Conclusion



Conclusion

- CNN architectures for SCA can be evaluated **securely**
- Our results are competitive in accuracy and trade **runtime for privacy**
- Secure computation may allow to **outsource** security evaluation
- **Hardware Accelerators** promise substantial improvements

However:

- Profiling requires **additional techniques** (MPC, Bootstrapping)
- **HE-friendly** circuits: Impact on other SCA techniques?

Questions
?

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